

# FOURTH STEP INVENTORY

These 4th step worksheets use the wording from *Alcoholics Anonymous* (the Big Book) pages 63-71. Text in *italics* is quoted from the Big Book. When the word "optional" is used in the worksheets, it means that the Big Book suggests doing this but does not suggest writing anything down. However, many people who have used these worksheets found it helpful to write down their flaws and their specific defects.

Remember, these worksheets are meant to be helpful, but do not feel restricted by them.

You can get the latest version of these worksheets and other AA materials at http://www.royy.com.

These worksheets are not officially produced or approved by Alcoholics Anonymous. They have been circulating unofficially among AA members for a long time in various versions. Their origin is unknown to the producer of this version.

#### **Helpful Hints**

**PREPARATION**: before you start this written Fourth Step, read pages 63-71 in the Big Book and the Fourth Step in the *Twelve Steps and Twelve Traditions*.

#### NOTE:

1. This inventory is **not** an exercise in beating yourself up. Be scrupulously honest but be fair to yourself. Inventory your strengths as well as your defects.

2. The following checklist merely **suggests** what some people consider flaws. You must decide how they fit with your own moral code. Do not be limited by this list--add your

other flaws and assets. It is in this Fourth Step that you begin to discover **your** values--what **you** consider right and wrong, functional and dysfunctional behavior--and how you live up to your standards.

3. This inventory is about who you are **now**, not who you were when you were drinking and taking drugs. Be careful, however, not to blame all past bad behavior on drinking and drugs if the seed of that bad behavior still exists in you.

4. Don't be discouraged when your character defects are not immediately lifted after you have completed your 5th Step--that is the work of the 6th and 7th Steps.

**INTO ACTION:** on the following list, check all of your defects **and** all of your assets. This is an inventory of **both** bad and good characteristics. Then discuss your defects (with your best example of each) on the *Review of Flaws* form.

(212) 475-7204 roy@royy.com

#### **Glossary of Words Used in Steps Four and Five**

Moral InventoryA list of personality defects, violations of moral principles, defects in character, maladjustments,					
	and dysfunctional behavior.				
Character	Moral vigor or firmness, especially as acquired				
	through self-discipline.				
Defect	Lack of something necessary for completeness; flaw;				
	weakness, fault; same as Shortcoming.				
Dishonest	The act or practice of telling a lie, or of cheating,				
	deceiving, stealing, etc.				
Exact	Precise, detailed.				
	Something done wrongly, an error or mistake.				
Fear	Painful emotion marked by alarm, dread, anxiety,				
	agitation, uneasiness, apprehension, etc.				

Frightened	A temporary or continual state of Fear. Anxious.
	Without thought or consideration of others.
Nature	The essential characteristic of a thing.
Self-centered	Occupying or concerned only with one's own
	affairs; same as Selfish.
Self-seeker	A person who seeks only or mainly to further his
	own interests.
Selfish	Too much concerned with one's own welfare or
	interests and having little or no concern for others;
	same as self-centered.
Shortcoming	Falling short of what is expected or required; same
	as Defect.
Wrong	Immoral, improper; not suitable or appropriate.

Footnotes to the list on following page:

<sup>1</sup> Dr. Bob's Fourth Step List; in "He Sold Himself Short," (*Alcoholics Anonymous*, p. 292)

<sup>2</sup> Seven Deadly Sins (*Twelve Steps and Twelve Traditions*, p. 48)

<sup>3</sup> Clarence Snyder's Fourth Step List (one of the original 40 members of AA, see "Home Brewmeister," *Alcoholics Anonymous*, pp. 297-303)

<sup>4</sup> Boy Scout Law, Robert Binkey's *The Official Boy Scout Handbook* (10th edition, 1990)(so? . . . what? . . .)

## **Checklist of Flaws and Assets**

4 Character Defect	<u> </u>
aggressive, belliger	
angry <sup>2</sup>	forgiving, calm, generous
apathetic	interested, concerned, alert
apprehensive, afrai	
argumentative, qua	rrelsome agreeable
arrogant, insolent	unassuming, humble
attacking, critical	fair, self-restrained
avoidant	faces problems and acts
blocking	honest, intuitive
boastful	modest, humble
careless 1	careful, painstaking, concerned
cheating	honest
competitive (social	ly) cooperative
compulsive	free
conceited <sup>1</sup> , self-in	nportant humble, modest
contradictory, oppo	sitional reasonable, agreeable
contrary, intractable	e, pigheaded reasonable
controlling	lets go, esp. of other's lives
cowardly	brave <sup>4</sup>
critical <sup>3</sup> no	n-judgmental, praising, tolerant,
cynical	open-minded
deceitful	guileless, honest
defensive	open to criticism
defiant, contemptud	
denying	honest, accepting
dependent	accepts help but is self-reliant
depressed, morose	hopeful, optimistic, cheerful <sup>4</sup>
dirty, poor hygiene	clean <sup>4</sup>
dishonest <sup>3</sup>	honest
disloyal, treacherou	
disobedient	obedient <sup>4</sup>
disrespectful, insole	ent respectful, reverent <sup>4</sup>
enabling	setting boundaries, tough love
envying <sup>2,3</sup>	empathetic, generous, admiring
evasive, deceitful	candid, straightforward
exaggerating	honest, realistic
faithless, disloyal	reliable, faithful
falsely modest	honest, has self-esteem
falsely prideful <sup>2,3</sup>	modest, humble
fantasizing, unreali	
fearful <sup>3</sup>	confident, courageous

forgetful	responsible
gluttonous <sup>2</sup> , excessive	moderate
	ed-mouth, kind, praising
	erate, generous, sharing
	ng, concerned for others
	rant, doesn't personalize
ill-tempered <sup>1</sup> , bitchy	good-tempered, calm
impatient <sup>3</sup>	patient
impulsive, reckless consis	tent, considered actions
inconsiderate	thoughtful, considerate
indecisive, timid	firm, decisive
indifferent, apathetic, aloof	caring
inflexible, stubborn	open-minded, flexible
insecure, anxious	self-confident, secure
insincere <sup>3</sup> , hypocritical	sincere, honest
intolerant <sup>1</sup> tolerant	t, understanding, patient
irresponsible, reckless	responsible
isolating, solitary	sociable, outgoing
jealous <sup>1,3</sup> trust	ing, generous, admiring
judgmental	broadminded, tolerant
justifying (own actions)	honest, frank, candid
lack of purpose	purposeful
lazy, indolent ind	dustrious, conscientious
loud	tasteful, quiet
lustful <sup>2</sup>	healthy sexuality
lying <sup>3</sup>	honest
manipulative candid,	honest, non-controlling
masked, closed	honest, open, candid
nagging	supportive
narrow minded	open minded
obscene, crude	modest, courteous
over emotional	emotionally stable
perfectionistic	realistic goals
pessimistic realistic, hope	eful, optimistic, trusting
possessive	generous
prejudiced	open-minded
procrastinates <sup>3</sup> dis	sciplined, acts promptly
	clear sighted, optimistic
rationalizing	candid, honest
resentful <sup>1,3</sup> , bitter, hateful	forgiving
resisting growing	willing to grow
rude, discourteous	polite, courteous <sup>4</sup>

sarcastic 1praising, tolerantself-important 3humble, modestself-centeredcaring of othersself-destructive, self-defeatingself-fulfillingself-hatingself-accepting, lovingself-justifying 3admitting wrongs, humbleself-pitying 3grateful, realistic, acceptingself-righteoushumble, understandingself-seeking 3selfless, concerned for othersselfish 1,3altruistic, concerned with othersshyoutgoingslothful (lazy)2,3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsuperior, grandiose, pretentioushumblesuperitioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfairunfriendly, hostile, bitchyfriendly4							
self-centeredcaring of othersself-destructive, self-defeatingself-fulfillingself-hatingself-accepting, lovingself-justifying <sup>3</sup> admitting wrongs, humbleself-pitying <sup>3</sup> grateful, realistic, acceptingself-righteoushumble, understandingself-seeking <sup>3</sup> selfless, concerned for othersselfish <sup>1,3</sup> altruistic, concerned with othersshyoutgoingslothful (lazy) <sup>2,3</sup> industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsuperior, grandiose, pretentioushumblesupericioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
self-destructive, self-defeatingself-fulfillingself-hatingself-accepting, lovingself-justifying <sup>3</sup> admitting wrongs, humbleself-pitying <sup>3</sup> grateful, realistic, acceptingself-righteoushumble, understandingself-seeking <sup>3</sup> selfless, concerned for othersselfish <sup>1,3</sup> altruistic, concerned with othersshyoutgoingslothful (lazy) <sup>2,3</sup> industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsuperior, grandiose, pretentioushumblesuperior, grandiose, pretentioushumblesuperioidustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
self-hatingself-accepting, lovingself-justifying 3admitting wrongs, humbleself-pitying 3grateful, realistic, acceptingself-righteoushumble, understandingself-seeking 3selfless, concerned for othersselfish 1,3altruistic, concerned with othersshyoutgoingslothful (lazy)2,3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
self-justifying3admitting wrongs, humbleself-pitying3grateful, realistic, acceptingself-righteoushumble, understandingself-seeking3selfless, concerned for othersselfish1,3altruistic, concerned with othersshyoutgoingslothful (lazy)2,3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuspicioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
self-pitying 3grateful, realistic, acceptingself-righteoushumble, understandingself-seeking 3selfless, concerned for othersselfish 1, 3altruistic, concerned with othersshyoutgoingslothful (lazy)2, 3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitioustrustingtensecalm, serenethinking negatively 3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
self-pitying 3grateful, realistic, acceptingself-righteoushumble, understandingself-seeking 3selfless, concerned for othersselfish 1, 3altruistic, concerned with othersshyoutgoingslothful (lazy)2, 3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitioustrustingtensecalm, serenethinking negatively 3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
self-seeking3selfless, concerned for othersselfish1,3altruistic, concerned with othersshyoutgoingslothful (lazy)2,3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplined							
self-seeking3selfless, concerned for othersselfish1,3altruistic, concerned with othersshyoutgoingslothful (lazy)2,3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuspicioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplined							
shyoutgoingslothful (lazy)2,3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
slothful (lazy)2.3industrious, taking actionspiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplined							
spiteful, maliciousforgivingstealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesupersitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
stealinghoneststubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
stubbornopen-minded, willingsullencheerfulsuperior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
sullencheerfulsuperior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
superior, grandiose, pretentioushumblesuperstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
superstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
superstitiousrealistic, no magical thinkingsuspicioustrustingtensecalm, serenethinking negatively <sup>3</sup> being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
tensecalm, serenethinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
thinking negatively3being positivetreacheroustrustworthyundisciplined, self-indulgentdisciplinedunfairfair							
treacherous trustworthy undisciplined, self-indulgent disciplined unfair fair							
undisciplined, self-indulgent disciplined unfair fair							
unfair fair							
unfriendly hostile bitchy friendly <sup>4</sup>							
difficiently, nostric, oftenly filendry							
ungrateful thankful, grateful							
unkind, mean, malicious, spiteful kind <sup>4</sup>							
unsupportive of others supportive							
untrustworthy, unreliable, dishonest trustworthy <sup>4</sup>							
useless, destructive helpful <sup>4</sup>							
vain modest, humble							
vindictive forgiving							
violent gentle							
vulgar <sup>3</sup> polite							
wasteful thrifty <sup>4</sup>							
willful accepting of the inevitable							
withdrawn outgoing							
wordy, verbose frank, to the point, succinct							
Other dysfunctional ways of acting, feeling or							
thinking which cause me or others pain (specify in							
the following <i>Review of Flaws</i> ).							

See source footnotes on page 2.

### **Instructions for Completion**

#### REVIEW OF FLAWS (optional)

First, we searched out the flaws in our make-up which caused our failure.

	FLAW	Give your best example of this specific flaw in your life.
1		
2		
3		
4		
5		
6		
7		
8		
9		

	FLAW	Give your best example of this specific flaw in your life.
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		

	FLAW	Give your best example of this specific flaw in your life.
21		
22		
23		
24		
25		
26		
27		
28		
29		
30		
31		

### RESENTMENTS

Do not be restricted by this list. It is just to help you get started. List your resentments on the next pages.

PEOPLE	In-Laws	Nationality
Father	Judges	Philosophy
Mother	Lawyers	Races
Boy Friends/Lovers	Life-long Friends	Religion
Brothers	Parole Officers	Society
Sisters	Police	PRINCIPLES
Sponsors	Probation Officers	Adultery
Employers	School Friends	Death
A.A. Friends	Teachers	God-Deity
Acquaintances	Uncles	Golden Rule
Aunts	Wives	Heaven
Best Friends	INSTITUTIONS	Hell
C.A. Friends	Authority	Homophobia
Childhood Friends	Bible	Jesus Christ
Clergy	Child Protection	Life After Death
Co-Workers	Church	Original Sin
Cousins	Correctional System	Retribution
Creditors	Education System	Satan
Doctors	Government	Seven Deadly Sins
Employees	Law	Sin
Girl Friends	Marriage	Ten Commandments
Husbands	Health/Mental Health System	

### resentment. Column 1 In dealing with resentments we set them on paper. We listed people, institutions or principles with whom we were angry. "SELF" Column 2 We asked ourselves why we were angry. Column 3 On out grudge list we set opposite each name our injuries. Was it our self-esteem, our security, our ambitions, our COLUMN 3 COL. 4 COLUMN 5 Affects My My Specific personal, or sex relations which had been interfered with? Own Defects Column 4 Referring to our list again. Putting out of our minds the wrongs others had done, we resolutely looked for our own Mistakes (optional) mistakes. Where had we been selfish, dishonest, self-seeking and frightened? ionship Column 5 This Column is optional. List specific other defects to show your participation in the resentments. Reading from left to right we now see the resentment (Column 1), the cause (Column 2), the part of self that had been affected (Column 3), and the exact nature of the defect within us that allowed the resentment to surface and block us off from God's will (Columns 4 and 5). COLUMN 1 COLUMN 2 The Cause: I'm RESENTFUL at: 1 2 3 4 5 6 7

#### **Instructions for Completion**

### Complete each column top to bottom before proceeding to the next column. Thus, for instance, fill in all the names of those you are resentful at before filling in the column for the cause of each resentment.

# REVIEW OF RESENTMENTS

		Self Esteem	Security	Ambitions Personal Relationships	Sex Relations	Serrisn Dishonest	Self-seeking Trichtened	Frigntenca	
I'm RESENTFUL at:	The Cause				$\Box$			Щ	

		Self Esteem	Security	Ambitions Personal Relationships	Sex Relations	Settisn Dishonest	Self-seeking Trichtened	r Dginenew	
I'm RESENTFUL at:	The Cause						$\Box$		

## Fears

# Do not be restricted by this list. It is just to help you get started. List your fears on the next pages.

abandonment	flying	needing anyone
aging	fourth & fifth steps	not being good enough
anger	future, the	not being happy
authority figures	getting fat or thin	not being liked
being alone	God	not being perfect
being deceived	going home	people (specify who)
being found out	going out on a "whim"	police, the
being myself		
0.	having children	poverty
change	homelessness	procrastination
compliments	homosexuality	rape
confrontation	honesty	rejection
creditors	humiliation	relationships
death	hurting others	resentments, my
denial, my	I'm a fraud and others will find out	responsibility
disapproval	incarceration	risks
disease	intimacy	saying that I can't do something
divorce	IRS, the	sex
embarrassment	letting go	sponsor, my
employment	living	success
facing myself	loneliness	unemployment
failure	losing hope	unknown, the
fear	love	violence
feelings	mediocrity	work
financial insecurity	money	

# Instructions for Completion Complete column 1 from top to bottom before proceeding to the next column.

Column 1 We reviewed our fears thoroughly. We put them on paper, even though we had no resentment in connection with them. Column 2 We asked ourselves why we had them.

COLUMN 1	COLUMN 2
I'm FEARFUL of:	Why do I have the fear?
1	
2	
3	
4	
5	
6	
7	
8	
9	

I'm FEARFUL of:		Why do I have the fear?
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		

#### Instructions for Completion Complete each column top to bottom before going on to the next column.

### **REVIEW OF OUR OWN SEX CONDUCT**

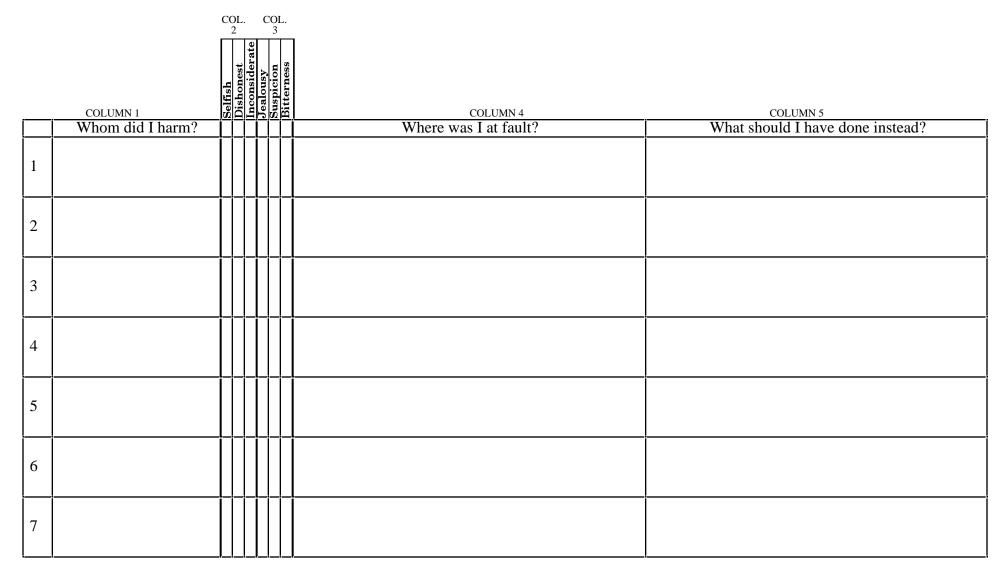
Column 1 We reviewed our sex conduct over the years past. Whom had we hurt?

Column 2 Where had we been selfish, dishonest or inconsiderate?

Column 3 Did we unjustifiably arouse jealousy, suspicion or bitterness?

Column 4 Where were we at fault?

Column 5 What should we have done instead?



	$\begin{array}{ccc} \text{COL.} & \text{COL.} \\ 2 & 3 \\ \hline & & \\ \hline & & \\ \end{array}$		
	Selfish Dishonest Jealousy Suspicion Bitterness		
COLUMN 1	Self Disl Bitt Bitt	COLUMN 4	COLUMN 5
Whom did I harm?		Where was I at fault?	COLUMN 5 What should I have done instead?
	<u></u>		
	<u>─-┞-╎-┞-╎-┞</u> ────		
	── <b>╏</b> ─╎─╎─╏─╎─╎─╏		
	!_!_!_!_		
	<u>─-</u> ┠-╎-╎-┠-╎-┦		
l <u> </u>	<u>──╂-╎-╎-╂-╎-┦</u> ────		<u> </u>